The Committee to Approve Schedules for the Retention and Disposition of Official State Records

The “State Records Committee”
Minutes for November 14, 2018

1: Call to Order, Welcome, Roll Call

- The meeting was called to order at 1:25 pm. The meeting was held at the Nevada State Library and Archives Board Room, at 100 N. Stewart St, Carson City, NV.

Committee Members:
Kimberley Perondi, for Barbara K. Cegavske, Secretary of State - Present
Kathryn Etcheverria, Governors Appointee - Present
Sarah Bradley, for Adam Laxalt, Nevada Attorney General - Excused
Sara Martel, State Records Manager, for Tammy Westergard, Acting Division Administrator, Nevada State Library, Archives and Public Records - Present
Maureen Martinez, For Patrick Cates, Director of the Department of Administration – Present
Alisanne Maffei, for David Haws, Administrator, Enterprise Information Technology Services
Excused

Staff:
Bobbie Church, Senior Records Analyst, Nevada State Library, Archives and Public Records – Present

Guests Present:
None

2: Public Comment
Comment may be limited to 5 minutes at the discretion of the Chairman.
No comments were made.

3: Attachment A. Review and Approve the Minutes for October 10, 2018

Discussion and Vote:
The minutes were approved as presented. The motion was made by Kathryn Etcheverria and the second was by Maureen Martinez. The vote was unanimous.

4: Attachment B. Proposed New RDAs for Agency Specific Records Retention Schedules

There were no proposed new RDAs for agency specific records retention schedules.
5: Attachment C. Proposed Modification RDAs for Agency Specific Records Retention Schedules

1. Public Employees’ Benefits Program

A. Group Health and Life Insurance Participant Files RDA: 2002089

Description:
This record series documents the eligibility and enrollment in and all changes in health insurance, life insurance, disability insurance, and similar benefit packages offered to employees, retirees and their qualifying spouse/domestic partner, dependents, or surviving dependents, through the Public Employee’s Benefits Program. The record series may include but is not limited to: 
- Enrollment forms
- Beneficiary information including contact information, marital status, birth certificates, marriage license/domestic partner declaration, death certificate, and other legal documents (adoption, etc.),
- Pre-tax documentation,
- Documentation on access, disclosure and other actions required under HIPAA (Health Insurance Portability and Accountability Act, 42 USC s. 1390d et. Seq.), and
- Related correspondence.

Authorized Retention:
Retain this record series for a period of six (6) calendar years from the end of the calendar year in which date the employee primary insured is no longer covered under any benefit package.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
The retention period meets administrative and legal needs.

Agency review:
The appraisal is supported by the Public Employees’ Benefits Program, Records Officer.

Justification for Modification of RDA 2002089:
A review by the Public Employees Benefits’ Program determined that RDA 2002088 “Evidence of Insurability Files”, RDA 2002087 “Death Claims”, and RDA 2002090 “Long Term Disability Files” should be combined with this series and simplified to “Participant Files” the documents of eligibility are the same for all files and maintained for the same retention. Participants completed life insurance enrollment/beneficiary designations; however, are forwarded to the third-party life insurance administrator and are not retained by PEBP.

Discussion and Vote:
The proposals in Attachment C were approved as presented. The motion was made by Kathryn Etcheverria and the second was by Maureen Martinez. The vote was unanimous.
6: Attachment D. Proposed Deletion RDAs for Agency Specific Records Retention Schedules

1. Health Division, Bureau of Health Care Quality and Compliance:

   A. Title: Lab Personnel Certification and Licensure Files: Adverse Actions RDA: 2004152

   Description:
   This records series is used in the administration adverse actions (including: reprimands, censures, probations, suspension and revocations) concerning the certification of lab personnel and licensure of lab directors in accordance with NRS Chapter 652 and NAC Chapter 652. The files may contain, but are not limited to: Copies of documents from the certification & licensure files; Investigative reports; Dismissal and/or closure proceedings (including negative action or finding, conditions, stipulations, length of time, etc.) with supportive documentation; Related correspondence.

   Authorized Retention:
   Retain this record series for a period of fifteen (15) calendar years from the end of the calendar year in which the adverse action was concluded.

   Recommended Disposition:
   Destroy Securely

   NSLAPR staff recommendation:
   Delete this RDA

   Agency review:
   The appraisal is supported by the Health Division: Bureau of Health Care Quality and Compliance, Records Officer.

   Justification for Deletion of RDA 2004152:
   It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

2. Public Employees’ Benefits Program

   A. Title: Evidence of Insurability Files RDA: 2002088

   Description:
   This record series is used to document and administer the addition of self, spouse or dependents to state health insurance. The files may contain but are not limited to: Request form with supporting documentation including copies of birth certificates, marriage license and other legal documents (adoption, etc.); Related correspondence.

   Authorized Retention:
   Retain these records for a period of six (6) calendar years receipt of the records.

   Recommended Disposition:
   Destroy Securely
NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Public Employees’ Benefits Program, Records Officer.

Justification for Deletion of RDA 2002088:
A review by the Public Employees Benefits Program determined that these records should be combined with RDA 2002089 Group Health and Life Insurance Participant Files. The documents of eligibility are the same for all files, maintained for the same retention and are filed with that series.

B. Title: Long Term Disability Files RDA: 2002090

Description:
This record series is used to document and administer claims for long term disability. The files may consist of but are not limited to: Claims and supporting documentation; Verification documentation; Documentation on access, disclosure and other actions required under HIPAA (Health Insurance Portability and Accountability Act, 42 USC s. 1390d et. Seq.); Related correspondence.

Authorized Retention:
Retain these records for a period of six (6) calendar years from the date of the closure or final resolution of the claim.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Public Employees’ Benefits Program, Records Officer.

Justification for Deletion of RDA 2002090:
A review by the Public Employees Benefits Program determined that these records should be combined with RDA 2002089 Group Health and Life Insurance Participant Files. The documents of eligibility are the same for all files, maintained for the same retention and are filed with that series.

C. Title: Death Claims RDA: 2002087

Description:
This record series is used to document and administer the claim for life insurance on death claims. The files may contain but are not limited to: Claims with supportive documentation; Benefits payment records; Access, disclosure and other documentation required under HIPAA (Health Insurance Portability and Accountability Act, 42 USC s.1390d et. Seq.) see 45 CFR Parts 160 and 164; Related correspondence.

Authorized Retention:
Retain these records for a period of six (6) calendar years from the end of the calendar year in which the claim was closed.

Recommended Disposition:
Destroy Securely
NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Public Employees’ Benefits Program, Records Officer.

Justification for Modification of RDA 2002087:
A review by the Public Employees Benefits Program determined that these records should be combined with RDA 2002089 Group Health and Life Insurance Participant Files. The documents of eligibility are the same for all files, maintained for the same retention and are filed with that series.

Discussion and Vote:
The proposals in Attachment D were approved as presented. The motion was made by Kathryn Etcheverria and the second was by Maureen Martinez. The vote was unanimous.

7: Attachment E. Proposed New, Modification, and Deletion of RDAs for General Records Retention Schedule

There were no proposed new, modified or deleted RDAs for the General Records Retention Schedule.

Discussion and Vote:
As there were no action items, there was no discussion or vote.

8: Attachment F. Discuss future agenda items:

1. State Board of Accountancy:
   A. Title: License Files, State Board of Accountancy
      RDA: 2006119

Description:
These records document the application & renewal process and monitors individuals receiving a professional license from the Board in accordance with NRS chapter 628 and NAC chapter 628. The files may contain but are not limited to: Original application documentation; Renewal documentation (including, notification, renewal forms, copies of fee payments, CE documentation and similar records); Informational documentation on licensees (including copies of letters, certificates, news media articles, photos, etc.); Contact information (including home and business); Exams, training records, and; Complaints/disciplinary actions (when the Board chooses not to file such documentation separately)

Authorized Retention:
Retain these records for a period of fifteen (15) calendar years from the expiration, revocation, suspension or other final disposition of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA
Agency review:
The appraisal is supported by the State Board of Accountancy, Records Officer.

Justification for Deletion of RDA 2006119:
The Committee has determined that all Professional and Occupational license record series are to be reviewed and recommends this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing-Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

2. State Contractors Board:

A. Title: Contractor’s Licensing Files

Description:
This record series documents the application & renewal process and monitoring of individuals receiving licenses from the State Contractors Board (see NRS and NAC chapter 624). The file may contain, but is not limited to: application with supportive documentation; contractor’s bond documentation; renewals; complaints with any investigative documentation; documentation on disciplinary actions (including hearings); documentation on fees and; related correspondence

Authorized Retention:
Retain these records for a period of five (5) calendar years from the expiration, revocation or inactivation of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the State Contractors Board, Records Officer.

Justification for Deletion of RDA 2006294:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

3. State Board of Veterinary Medical Examiner’s:

A. Title: Veterinary Licensing Files

Description:
This record series documents the application & renewal process and monitoring of individuals receiving licenses and/or certification from the State Board of Veterinary Medical Examiners in accordance with NRS Chapter 638 and NAC Chapter 638. Licensee files include, but are not limited to, veterinarians, veterinary interns, veterinary technicians (including unlicensed, licensed and in training), euthanasia technicians, animal physical therapist and animal chiropractic. The file may contain, but is not limited to: (a) original application documentation (which may or may not include a photo); (b) resumes, copies of educational transcripts, verification letters, references, certificates, examination results, related correspondence, and similar records used in the initial application process; (c) renewal documentation including notification, renewal forms, copies of fee payment, and similar documents; (d) informational
Authorized Retention:
Retain the records listed under (a), (b) and (c) in the description statement for a period of six (6) calendar years from the expiration, revocation, suspension or other final disposition of the license. Documents listed under (d) and (e) of the description statement may be purged when no longer administratively useful to the Board.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the State Board of Veterinary Medical Examiner’s, Records Officer.

Justification for Deletion of RDA 2006157:
It is recommended this record series be deleted and the agency follow one or more of the following:

4. Department of Administration, Hearings and Appeals Division:

A. Title: Hearing Representatives Licensing File

RDA: 2009001

Description:
This record series documents the licensing and monitoring of hearing representatives (See NRS 616C.325 and NAC 616C.350 et seq.). The record may contain but is not limited to: Application and related documentation; Investigation; Disciplinary procedures; Supportive materials; and; Related correspondence

Authorized Retention:
Retain these records for a period of three (3) calendar years from the date of expiration or final action.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Department of Administration, Hearings and Appeals Division, Records Officer.

Justification for Deletion of RDA 2009001:
It is recommended this record series be deleted and the agency follow one or more of the following:

B. Title: Revoked Hearing Representatives Licensing File

Description:
This record series documents the individuals whose license was revoked (See NAC 616C.374 (2)). The record may contain but is not limited to: Application and related documentation; Investigation; Disciplinary procedures; Supportive materials, and; Related correspondence.

Authorized Retention:
Retain these records for a period of thirty (30) calendar years from the date of revocation or final action.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Department of Administration, Hearings and Appeals Division, Records Officer.

Justification for Deletion of RDA 2009002:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

C. Title: Workers Compensation Representative Files

Description:
This record series consists of licenses issued as a Workers Compensation Representative. The file may contain but is not limited to: Application with associated documents; Testing documentation including test scores, and; Related correspondence.

Authorized Retention:
Retain these records for a period of three (3) calendar years after expiration of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Department of Administration, Hearings and Appeals Division, Records Officer.

Justification for Deletion of RDA 1995001:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.
5. **Business and Industry, Athletic Commission:**

   A. **Title:** Licensee (including Unarmed Combatants): Famous/Celebrated/Historically Significant

   **RDA:** 2017031

   **Description:**
   These records document and regulate issuance of licenses to Famous/Celebrated/ Historically Significant licensees. Licensee files are considered Famous/Celebrated/ Historically Significant if: the licensee attained contemporary public notoriety or celebrity status; the licensee received significant media coverage; the licensee was generally viewed by the community as important or significant, or the licensee was the subject of a well-known book or feature film. The file may contain, but is not limited to: application and related documentation, financial statements, fingerprint cards; articles of incorporation, surety bonds, master medical insurance policy, licensee application, contracts between contestants and managers, and; related correspondence.

   **Authorized Retention:**
   Retain for ten (10) calendar years from the expiration of the last license.

   **Recommended Disposition:**
   Permanent: Transfer to State Archives

   **NSLAPR staff recommendation:**
   Delete this RDA

   **Agency review:**
   The appraisal is supported by the Business and Industry, Athletic Commission, Records Officer.

   **Justification for Deletion of RDA 2017031:**
   It is recommended this record series be deleted and the agency follow one or more of the following:

   B. **Title:** Licensee Files

   **RDA:** 1989119

   **Description:**
   These records apply to all officials, seconds, managers, ring announcers, matchmakers, ring physicians, etc., and are used by the commission to authorize, document and regulate the issuance of licenses to these individuals. The files may contain, but are not limited to: license application and related documentation, copies of contracts between contestants and managers and; related correspondence

   **Authorized Retention:**
   Retain for ten (10) calendar years from the expiration of the license.

   **Recommended Disposition:**
   Destroy Securely

   **NSLAPR staff recommendation:**
   Delete this RDA

   **Agency review:**
   The appraisal is supported by the Business and Industry, Athletic Commission, Records Officer.
Justification for Deletion of RDA 1989119:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

C. Title: Promoter Licensee Files  
RDA: 1989118

Description:
These records document each promoter of an athletic event under the jurisdiction of the Athletic Commission. This is used to verify his acceptability as a promoter. The file may contain, but is not limited to: license application and related documentation; financial statements; fingerprint cards; articles of incorporation; surety bonds; copy of master medical insurance policy and; related correspondence

Authorized Retention:
Retain these records for a period of ten (10) calendar years from the end of the promotion.

Recommended Disposition:
Permanent: Transfer to State Archives

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Athletic Commission, Records Officer.

Justification for Deletion of RDA 1989118:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

D. Title: Unarmed Combatants Licensee Files  
RDA: 2017029

Description:
These records apply to boxers, mixed martial artists, kick boxers, etc., and are used by the commission to authorize, document and regulate the issuance of licenses to these individuals. The files may contain, but are not limited to: license application and related documentation; medical records, copies of contracts between contestants and managers and; related correspondence.

Authorized Retention:
Retain for fifteen (15) calendar years from the expiration of the last license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Athletic Commission, Records Officer.
Justification for Deletion of RDA 2017029:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

6. Business and Industry, Mortgage Lending Division:

A. Title: Licensing Files

Description:
This record series is used to document mortgage brokers, bankers and agents licensed by the Division
(See NRS and NAC Chapter 645A, 645B, 645E, 645F). The files may include, but are not limited to:
Organizational Documentation (including: applications, contact information, background checks, credit
information, articles of incorporation, list of officers, surety bonds, etc.); Financial Statements and other
reports; Examinations and continuing education documentation; Complaints & Disciplinary Procedures
(including complaints, investigations, hearing documentation, etc.); Related correspondence and; Similar
document

Retention:
Retain these records for a period of six (6) calendar years from the date the license expires, is revoked or
otherwise invalidated.

Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Mortgage Lending Division, Records Officer.

Justification for Deletion of RDA 2007026:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

B. Title: Licensing Files, Disciplinary.

Description:
This record series is used to document mortgage brokers, bankers and agents licensed by the Division
that have been involved in disciplinary and/or legal actions (See NRS and NAC Chapter 645A, 645B,
645E, 645F). The files may include, but are not limited to: Organizational Files (including: applications,
contact information, background checks, credit information, articles of incorporation, list of officers, surety
bonds, etc.); Financial Statements and other reports; Examinations and continuing education
documentation; Complaints & Disciplinary Procedures (including complaints, investigations, hearing
documentation, etc.); Related correspondence and; Similar documents

Retention:
Retain these records for a period of ten (10) calendar years from the date the license is suspended,
revoked or is otherwise invalidated, or from the date of final action if litigation is involved.

Disposition:
Destroy Securely
NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Mortgage Lending Division, Records Officer.

Justification for Deletion of RDA 2008003:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

7. Business and Industry, Real Estate Division:

A. Title: Real Estate Licensing Files
RDA: 2017015

Description:
This record series documents the licensing of individuals by the Real Estate Division in accordance with
NRS Chapters 645, 645C, 645D and 645H. Records may include but are not limited to application and
supporting documentation, proof of pre-licensing education, proof of experience, examination results,
renewal forms, continuing education and training verification records, verified statements, financial
information, proof of payment of required fees, and related correspondence.

Authorized Retention:
Retain this record series for a minimum period of three (3) calendar years from the expiration,
suspension, or revocation of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Real Estate Division, Records Officer.

Justification for Deletion of RDA 2017015:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

8. State Board of Cosmetology:

A. Title: Licensing Files
RDA: 2008037

Description:
These records document the application, renewal process and monitoring of individuals receiving licenses
(See NRS 644.190 et seq. and NAC 644.046 et seq.). The record may contain but is not limited to:
Original application documentation; Renewal applications with associated documentation; Informational
documentation on the licensee; Contact information (including home and business); Related
correspondence and; Similar documentation
Authorized Retention:
Retain the original application documentation and the records covering the two most recent renewals for four (4) calendar years after the final expiration of the certification, registration or license. Any educational transcripts and similar documents may be returned to the applicant after completion of the application process.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the State Board of Cosmetology, Records Officer.

Justification for Deletion of RDA 2008037:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

9. Health and Human Services: Child & Family Services Division:

A. Title: Foster Care Licensing Files

RDA: 1999111

Description:
This record series administers and documents families licensed to provide care to children who are in the custody of the Division. Closed files provide a historical documentation of the performance of licensed foster parents. The files may include: application, extensive background histories, home study reports, proof of training, copies of licenses, case notes, waivers & approvals, Child Protective Services reports, Licensing complaints, home inspection forms, pet inoculation documentation, law enforcement checks, FBI fingerprint checks, child abuse and neglect system checks, requests and related correspondence.

Authorized Retention:
Retain this record series for a period of sixteen (16) calendar years from the date of the expiration, suspension or revocation of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Health and Human Services: Child & Family Services Division, Records Officer.

Justification for Deletion of RDA 1999111:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

13
10. Board of Alcohol, Drug Abuse and Gambling Counselors:

A. Title: Certification and Licensing Files

Description:
This record series contains information required to be submitted with an application for certification as counselor or administrator of a program of treatment by personnel who have completed training and are authorized to perform services pursuant to NRS Chapter 641C and NAC Chapter 641C. The file may contain, but is not limited to: Application with supportive documentation; Examination results; background and other investigation documents; Disciplinary and complaint records and; related documentation.

Authorized Retention:
Retain the original application and the three (3) most current years of records for a period of three (3) calendar years after expiration of the certification or license, purging the file of older records.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the State Board of Alcohol, Drug Abuse and Gambling Counselors, Records Officer.

Justification for Deletion of RDA 2006018:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary”.

11. Department of Motor Vehicles, Compliance Enforcement:

A. Title: Licensing File

Description:
This record series is used to administer and document the licensing process for entities and individuals as required by the Department of Motor Vehicles. Licensees may include but are not limited to: Body Shops, Brokers, Dealers, Driving Schools, DUI Schools, Emission Control Stations, Emission Inspectors, Garages, Instructors, Salesmen, Traffic Safety Schools and Wrecker, Salvage Pools. The files may contain: license Application, Personal History Questionnaire, Surety Bond, copy of insurance certificate, copy of city or county business license, copies of corporate documents and related documentation.

Authorized Retention:
Retain this record series for a period of three (3) calendar years following the expiration or termination of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA
Agency review:
The appraisal is supported by the Department of Motor Vehicles, Compliance Enforcement, Records Officer.

Justification for Deletion of RDA 2000060:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 "Licensing: Professional and Occupational Licensing- Application",
RDA 2014205 "Licensing: Professional and Occupational Licensing- Renewal", and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

12. Veteran’s Services: Nevada State Veteran’s Nursing Home:

A. Title: License/Certification of Personnel
   RDA: 2004178

Description:
This record series is used to document the licenses and certifications of staff in accordance to
Department of Veterans Affairs requirements found in 38 CFR 51/120 and 52.120. The file consists of
copies of professional licenses, certifications and training documentation with associated correspondence
and supportive documents.

Authorized Retention:
Retain for a period of five (5) calendar years from the end of the calendar year to which they pertain.
Those records that document licenses or certifications that do not need renewal should be retained for
five years from the separation from service of the employee. Note:

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Veteran's Services: Nevada State Veteran's Nursing Home, Records Officer.

Justification for Deletion of RDA 2004178:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 "Licensing: Professional and Occupational Licensing- Application",
RDA 2014205 "Licensing: Professional and Occupational Licensing- Renewal", and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

13. State Library, Archives, and Public Records, State Library Services:

A. Title: Public Librarian Certification
   RDA: 2017017

Description:
This record series documents the certification of public librarians by the Administrator of the Nevada State
Library, Archives and Public Records as required by NRS Chapter 379. Records may include but are not
limited to application form, fingerprint cards, academic transcripts, letter of recommendation, proof of
experience, renewal form or request, continuing education and training verification records, and related
correspondence.
**Authorized Retention:**
Retain this record series for a period of four (4) calendar years from the expiration, or revocation of the certificate.

**Recommended Disposition:**
Destroy Securely

**NSLAPR staff recommendation:**
Delete this RDA

**Agency review:**
The appraisal is supported by the State Library, Archives, and Public Records, State Library Services, Records Officer.

**Justification for Deletion of RDA 2017017:**
It is recommended this record series be deleted and the agency follow one or more of the following:

14. Conservation and Natural Resources, Bureau of Safe Drinking Water:

A. **Title:** Water Operators Certification File  
   **RDA:** 2003113

**Description:**
This records series is used to document the certification process for operators of water systems (See 40 CFR 142.14 (d)(12)(iv), 40 CFR 142.16 (h)(2), NRS 445A.875 et seq. and NAC 445A.617 to 445A.652). The files may contain, but are not limited to: Original applications with associated documentation; Disciplinary actions, and; related correspondence.

**Authorized Retention:**
Retain these records for a period of twelve (12) calendar years from the date they are no longer certified.

**Recommended Disposition:**
Destroy Securely

**NSLAPR staff recommendation:**
Delete this RDA

**Agency review:**
The appraisal is supported by the Conservation and Natural Resources, Bureau of Safe Drinking Water, Records Officer.

**Justification for Deletion of RDA 2003113:**
It is recommended this record series be deleted and the agency follow one or more of the following:

B. Title: Water Operators Certification File: Renewal and Reinstatements  RDA: 2013012

Description:
This records series is used to document the renewal and/or reinstatement of applicants for operators of water systems (See 40 CFR 142.14 (d)(12)(iv), 40 CFR 142.16 (h)(2), NRS 445A.875 et seq., and NAC 445A.617 to 445A.652). The files may contain, but are not limited to: Renewal applications with associated documentation; Examinations, test scores and related records; Continuing education documentation; Reinstatement related records, and; related correspondence.

Authorized Retention:
Retain these records for a period of three (3) calendar years from the end of the two year renewal cycle or from the date of reinstatement.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Conservation and Natural Resources, Bureau of Safe Drinking Water, Records Officer.

Justification for Deletion of RDA 2013012:
It is recommended this record series be deleted and the agency follow one or more of the following:

C. Title: Water Operators Certification File: Denied and/or Disciplinary Actions  RDA: 2013040

Description:
This records series is used to document the operators of water systems whose certifications were revoked due to disciplinary action or denied by agency determination (See 40 CFR 142.14 (d)(12)(iv), 40 CFR 142.16 (h)(2), NRS 445A.875 et seq., and NAC 445A.617 to 445A.652). The files may contain, but are not limited to: Copies of the Certification file documents; Disciplinary action records, including copies of criminal history records and court documents, and; related correspondence.

Authorized Retention:
Retain these records for a period of one hundred (100) years from the birth date or until the known death of the individual, whichever occurs first.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Conservation and Natural Resources, Bureau of Safe Drinking Water, Records Officer.
Justification for Deletion of RDA 2013010:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

15. Education, Office of Educator Licensure:

A. Title: Licensure Disciplinary (Suspended or Revoked) Files
RDA: 2007081

Description:
These records document the licensure of educational personnel (See NRS and NAC chapter 391). The files may contain, but are not limited to: Licensure documentation and supportive documentation; checklists; notification of arrest documentation; Notice of Entry of Judgement from the State Board of Education (or other notification), and; related correspondence.

Authorized Retention:
Retain these records for a period of fifty (50) calendar years from the date of determination from the State Board of Education.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Education, Office of Educator Licensure, Records Officer.

Justification for Deletion of RDA 2007081:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

16. Board for the Regulation of Liquid Petroleum Gas:

A. Title: Licensing Records
RDA: 2017020

Description:
This record series documents the licensing of individuals by the Liquefied Petroleum Gas Board in accordance with NRS Chapter 590.465 to 590.645 and NAC Chapter 590.010 to 590.690. Records may include but are not limited to: application form, proof of insurance, investigative records, examination results, renewal forms, continuing education and training verification records, verified statements, financial information, proof of payment of required fees, and similar records.

Authorized Retention:
Retain this record series for a period of three (3) calendar years from the expiration, suspension, or revocation of the license.

Recommended Disposition:
Destroy Securely
NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Board for the Regulation of Liquid Petroleum Gas, Records Officer.

Justification for Deletion of RDA 2017020:
It is recommended this record series be deleted and the agency follow one or more of the following:

17. Secretary of State, Securities Division:

A. Title: Licensing Files

Description:
These records document the licensing of broker-dealers, sales representatives and investment advisors (See NRS 90.310 through 90.440, 1987 and NRS 90.780). The files may contain, but are not limited to: Applications with supportive documentation; Determination records; Disciplinary documentation; Related correspondence.

Authorized Retention:
Retain these records for a period of six (6) calendar years from the expiration of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Secretary of State, Securities Division, Records Officer.

Justification for Deletion of RDA 1989231:
It is recommended this record series be deleted and the agency follow one or more of the following:

18. Department of Taxation, Revenue Division:

A. Title: Cigarette Dealer Licensing Records

Description:
These records are used to administer and document the licensing of dealers (See NRS Chapter 370 and NAC Chapter 370). The files may contain but are not limited to: License applications and associated documents; Surety bonds, security pledges, bond waiver, etc.; Claims for refund of excise tax; Supportive documentation; Related correspondence.

Authorized Retention:
Retain the original application documentation and the three (3) most current years of records for a period of three (3) calendar years after the expiration of the certification, registration or license. Documents older than three (3) calendar years may be purged from the files.
Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Department of Taxation, Revenue Division, Records Officer.

Justification for Deletion of RDA 1998046:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,

19. Health Division, Bureau of Health Care Quality and Compliance:

A. Title: Child Care Facility License Files: Background Information
RDA: 2006066

Description:
This record series documents the background investigation of all applicants, licensees and employees of facilities in accordance with NRS 432A.170 to 432A.175 and NAC 432A.200 (2) through (4). The files may contain, but are not limited to: Criminal history reports; Written statement of criminal conviction; Bureau investigation reports (See NAC 432A.200 (2)); Documentation of waiver requests; Related correspondence.

Authorized Retention:
Retain these records for a period of six (6) calendar years from the end of the calendar year to which it pertains.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Health Division: Bureau of Health Care Quality and Compliance, Records Officer.

Justification for Deletion of RDA 2006066:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,

B. Title: Child Care Facility License Files
RDA: 2006065

Description:
This record series documents the monitoring of individuals licensed to operate a faculty (Director's Files) in accordance with NRS 432A.141 to 432A.220 and NAC chapter 432A. The files may contain, but are not limited to: Applications with associated documents; Description of the facility (NRS 432A.150); Investigation reports; Inspection reports (from the Bureau, State Health Officer and/or State Fire
Marshall); Training approvals; Current employee clearance letters; Requests for waivers; Related correspondence.

**Authorized Retention:**
Retain these records for a period of six (6) calendar years from the end of the calendar year to which it pertains.

**Recommended Disposition:**
Destroy Securely

**NSLAPR staff recommendation:**
Delete this RDA

**Agency review:**
The appraisal is supported by the Health Division: Bureau of Health Care Quality and Compliance, Records Officer.

**Justification for Deletion of RDA 2006065:**
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 "Licensing: Professional and Occupational Licensing- Application", RDA 2014205 "Licensing: Professional and Occupational Licensing- Renewal", and RDA 2018025 "Licensing: Professional and Occupational Licensing- Disciplinary".

**C. Title:** Child Care Facility License Applications - Denied — **RDA:** 2006062

**Description:**
This record series documents the application process for licenses which have been denied in accordance with NRS 432A.190. The files may include, but are not limited to: Application with associated documentation including educational transcripts; Criminal background information and investigation documentation (NRS 432A.170 to 432A.175); Related correspondence.

**Authorized Retention:**
Retain these records for a period of seven (7) calendar years from the date of denial or final action in the case.

**Recommended Disposition:**
Destroy Securely

**NSLAPR staff recommendation:**
Delete this RDA

**Agency review:**
The appraisal is supported by the Health Division: Bureau of Health Care Quality and Compliance, Records Officer.

**Justification for Deletion of RDA 2006062:**
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 "Licensing: Professional and Occupational Licensing- Application", RDA 2014205 "Licensing: Professional and Occupational Licensing- Renewal", and RDA 2018025 "Licensing: Professional and Occupational Licensing- Disciplinary".
Agriculture, Plant Industry Division:

A. Title: PCO: Disciplinary Files

Description:
These records document disciplinary actions taken by the Department of Agriculture on individuals who hold Pest Control Operator certification (see NRS 555.350 et seq.). The record may include but is not limited to: investigation reports & documentation; administrative hearing documentation; decrees including Cease and Desist Orders; reports to District Attorneys and/or the Attorney General's Office; copy of court documents; related documentation.

Authorized Retention:
Retain these records for a period of five (5) calendar years from the close of the case.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Agriculture, Plant Industry Division, Records Officer.

Justification for Deletion of RDA 2014192:
It is recommended this record series be deleted and the agency follow one or more of the following: General Schedule: RDA 2006059 "Licensing: Professional and Occupational Licensing- Application", RDA 2014205 "Licensing: Professional and Occupational Licensing- Renewal", and RDA 2018025 "Licensing: Professional and Occupational Licensing- Disciplinary".

B. Title: PCO: Continuing Education (CE) Compliance Files

Description:
These records document the CE that is required for renewal of certification through the Pest Control Operators Licensing and Enforcement Program (PCO) (see NRS 555.320 et seq.). The record may consist of, but is not limited to: educational transcripts; attendance rolls; course/workshop documentation; CE/Training forms; evaluations; training reports; related documentation.

Authorized Retention:
Retain these records for a period of five (5) calendar years from the end of the calendar year to which they pertain.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Agriculture, Plant Industry Division, Records Officer.
Justification for Deletion of RDA 2014191:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

21. Commission on Ethics, Examiners for Social Workers:

A. Title: Social Worker Licensee File
RDA: 2017034

Description:
This record series documents the licensing of individuals by the Board of Examiners for Social Workers in
accordance with NRS Chapter 641B and NAC Chapter 641B. Records may include but are not limited to
application form and supporting documentation, fingerprint cards, background investigation reports, proof
of pre-licensing education, proof of experience, examination results, renewal forms, continuing education
and training verification records, proof of payment of required fees, and similar records.

Authorized Retention:
Retain these records for a period of five (5) calendar years from the close of the case.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Commission on Ethics, Examiners for Social Workers, Records Officer.

Justification for Deletion of RDA 2017034:
It is recommended this record series be deleted and the agency follow one or more of the following:
General Schedule: RDA 2006059 “Licensing: Professional and Occupational Licensing- Application”,
RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025
“Licensing: Professional and Occupational Licensing- Disciplinary”.

22. Business and Industry, Occupational Safety and Health Enforcement Section:

A. Title: Asbestos License Files
RDA: 19999097

Description:
This record series document the process of licensing contractors, consultants, supervisors and workmen
dealing with asbestos control (See NRS 618.750 to 618.850). The files may include, but are not limited to:
Application with associated documentation; Fiscal records (billing notices, receipts, etc.); Supplemental
information, including insurance verification, employment summary, written safety programs, procedures
manuals, respiratory protection program, medical program, etc. and; Related correspondence.

Authorized Retention:
Retain these records for a period of three (3) calendar years from the expiration of the license.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA
Agency review:
The appraisal is supported by the Business and Industry, Occupational Safety and Health Enforcement Section, Records Officer.

Justification for Deletion of RDA 1999097:
It is recommended this record series be deleted and the agency follow one or more of the following:

B. Title: Asbestos Disciplinary Files

RDA: 1999101

Description:
This records series documents the disciplinary process for license and certificate holders (see NAC 618.35 to 618.850). The files may include, but are not limited to: Letters of complaint; Investigative records; Hearing documentation and; Related correspondence

Authorized Retention:
Retain these records for a period of six (6) calendar years from the resolution and/or disposition of a case.

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Commission on Ethics, Examiners for Social Workers, Records Officer.

Justification for Deletion of RDA 1999101:
It is recommended this record series be deleted and the agency follow one or more of the following:

23. Business and Industry, Office of Labor Commission:

A. Title: Private Employment Agency Files

RDA: 2005012

Description:
This record series is used to document the application and licensing of Private Employment Agencies (PEA) in accordance with NRS Chapter 611 and NAC Chapter 611. Records may include but are not limited to application and renewal form, fingerprint cards, background investigation reports, verification records, and; related correspondence and similar documentation. These files may also contain any disciplinary actions and/or other hearings held by the Labor Commission.

Authorized Retention:
Retain for two (2) calendar years from the date of expiration, revocation, suspension, or cancellation of the license.

Recommended Disposition:
Destroy Securely
NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Office of Labor Commission, Records Officer.

Justification for Deletion of RDA 2005012:
It is recommended this record series be deleted and the agency follow one or more of the following:

24. Business and Industry, Taxicab Authority:

A. Title: Taxicab Driver Permits RDA: 2017014

Description:
These records document the permitting and monitoring of individuals receiving taxicab driver permits to operate taxicabs under limited jurisdiction granted through NRS 706.881 through NRS 706.885 and NAC 706.450 through NAC 706.9918. Records may consist of but are not limited to application and supporting documentation, taxicab company referrals, examination results, renewal documentation, training records, suspension/termination documentation, medical certification, background investigation documentation, and related correspondence.

Authorized Retention:
Retain the initial application and three (3) most current years of records for a minimum period of three (3) calendar years after the expiration of the permit

Recommended Disposition:
Destroy Securely

NSLAPR staff recommendation:
Delete this RDA

Agency review:
The appraisal is supported by the Business and Industry, Taxicab Authority, Records Officer.

Justification for Deletion of RDA 2017014:
It is recommended this record series be deleted and the agency follow one or more of the following:

24. Business and Industry, Taxicab Authority:
Discussion and Vote:
The Committee discussed whether the record series on the General Schedule, RDA 2006059 “Licensing: Professional and Occupational Licensing – Application”, RDA 2014205 “Licensing: Professional and Occupational Licensing- Renewal”, and RDA 2018025 “Licensing: Professional and Occupational Licensing- Disciplinary” should be expanded to include businesses as well as individuals. It was decided that staff will research to determine if one or more record series already exist for disciplinary actions against a business, and that staff will also contact Sarah Bradley to obtain the legal definition of “professional and occupational”. Staff will send out a second notice letter to agencies which have record series on their agency specific retention schedule regarding licensing for professional and occupational licensing which differ from the General Schedule. Any agencies which concur with following the General Schedule as it has been updated will have their corresponding record series placed for deletion on a future agenda. Any agencies which are not in agreement will have their corresponding record series placed on a future agenda so the agency can present the justification as to why the General Schedule is not appropriate for the agency.
The proposals in Attachment F were tabled. The motion was made by Kathryn Etcheverria and the second was by Maureen Martinez. The vote was unanimous.

9: Public Comment
The State Records Manager, Sara Martel, asked the Committee that when they receive the Committee Packet, to always send a response with either the items they wish to mark for further discussion or a comment stating they have no items for discussion. Chair Kim Perondi stated that with the new format for the meetings, it is important that the members respond so it is clear everyone has read and understood the proposed items.

10: Determine time of next meeting
- The next meeting will be held December 12th, 2018 at 1:15 pm in the Nevada State Library and Archives Board room.

13: Adjourn
- The meeting was adjourned at 2:23 pm with the motion made by the Chair Kim Perondi. The vote was unanimous.